

EXPORT COMPLIANCE CHECKLIST

This is not an official document of the U.S. Department of Commerce or any other agency of the U.S. Government. It is an informal tool to assist in determining your firm's compliance with U.S. export regulations. Companies are encouraged to retain qualified legal counsel on specific transactions. Contact your local U.S. Export Assistance Center for a list of law firms.

Before entering into a sales agreement with

Name of Company: _____

Contact Person(s): _____

In the following country: _____

We verified that neither the company nor the person(s) with whom we are doing business is on any of the following export control lists:

- ☐ The Denied Persons List (Bureau of Industry & Security)
web address <http://www.bis.doc.gov/dpl/Default.shtm>
- ☐ Entities List (Bureau of Industry & Security)
web address <http://www.bxa.doc.gov/Entities/Default.htm>
(click on PDF of ASCII text format)
- ☐ Specially Designated Nationals (Office of Foreign Assets Control)
web address <http://www.ustreas.gov/offices/enforcement/ofac/sdn/t11sdn.pdf>
<http://www.ustreas.gov/offices/enforcement/ofac/sdn/t11sdnew.pdf> (changes to list)
- ☐ Unverified List (Bureau of Industry & Security)
web address http://www.bxa.doc.gov/Enforcement/UnverifiedList/Unverified_Parties.html
- ☐ Country Sanctions List (Office of Foreign Assets Control)
web address <http://www.treas.gov/offices/enforcement/ofac/sanctions/index.html> (click on country)
- ☐ List of Non-proliferation Embargoes (Gatti & Associates)
web address <http://www.gattiassociates.com/>

Above lists were reviewed by _____
(Please print name of person reviewing above list)

(Signature of reviewing person)

(Date the review was completed)